

# Unification in modal logic

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ICLA 2019

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# Introduction

## Unification problem in a logical system $L$

- ▶ Given a formula  $\psi(x_1, \dots, x_n)$
- ▶ Determine whether there exists formulas  $\varphi_1, \dots, \varphi_n$  such that  $\psi(\varphi_1, \dots, \varphi_n)$  is in  $L$

## Admissibility problem in a logical system $L$

- ▶ Given a rule of inference  $\frac{\varphi_1(x_1, \dots, x_n), \dots, \varphi_m(x_1, \dots, x_n)}{\psi(x_1, \dots, x_n)}$
- ▶ Determine whether for all formulas  $\chi_1, \dots, \chi_n$ , if  $\varphi_1(\chi_1, \dots, \chi_n), \dots, \varphi_m(\chi_1, \dots, \chi_n)$  are in  $L$  then  $\psi(\chi_1, \dots, \chi_n)$  is in  $L$

# Introduction

## Why solving unification problem?

**Description logic:** Given concept definitions  $C(x_1, \dots, x_n)$  and  $D(x_1, \dots, x_n)$

- ▶ **Determine** whether there are some redundancies between  $C(x_1, \dots, x_n)$  and  $D(x_1, \dots, x_n)$
- ▶ **Solve**  $C(x_1, \dots, x_n) \equiv D(x_1, \dots, x_n)$

## Example of a unification problem

- ▶  $C = \forall R. \forall R. A \sqcap \forall R. X$
- ▶  $D = Y \sqcap \forall R. Y \sqcap \forall R. \forall S. A$

## Solution

- ▶ Replace  $X$  by  $A \sqcap \forall S. A$
- ▶ Replace  $Y$  by  $\forall R. A$

# Introduction

## Why solving unification problem?

**Epistemic planning:** Given variable-free epistemic formulas

$\varphi(p_1, \dots, p_m)$  and  $\psi(p_1, \dots, p_m)$

- ▶ **Determine** whether there exists a public announcement  $\chi$  such that  $\models \varphi \rightarrow \langle \chi! \rangle \psi$
- ▶ **Solve**  $\models \varphi \rightarrow \langle x! \rangle \psi$

## Example of a unification problem

- ▶  $K_1 p \wedge K_2(p \rightarrow q) \rightarrow \langle K_1 x! \rangle K_2 q$

## Solution

- ▶ Replace  $x$  by  $p$

# Introduction

**If  $L$  is consistent then the following are equivalent:**

- ▶ Formula  $\varphi(x_1, \dots, x_n)$  is **unifiable**
- ▶ Rule  $\frac{\varphi(x_1, \dots, x_n)}{\perp}$  is **non-admissible**

**If  $L$  is finitary then the following are equivalent:**

- ▶ Rule  $\frac{\varphi_1(x_1, \dots, x_n), \dots, \varphi_m(x_1, \dots, x_n)}{\psi(x_1, \dots, x_n)}$  is **admissible**
- ▶ Formulas  $\psi(x_1, \dots, x_n)$  is **in  $L$**  for each maximal unifiers  $(x_1, \dots, x_n)$  of formulas  $\varphi_1(x_1, \dots, x_n), \dots, \varphi_m(x_1, \dots, x_n)$

# Introduction

## Unification: two examples

- ▶ The formula  $\Box\neg x \vee \Box x$  is **unifiable** in modal logic  $K$
- ▶ The formula  $x \rightarrow \Box x$  is **unifiable** in modal logic  $K$

## In Classical Logic

- ▶ Unification **is equivalent to** satisfiability
- ▶ Why ? Use the inference rule of **Uniform Substitution**

## In Modal Logic

- ▶ Unification in  $S4$ ,  $S5$ , etc **is not equivalent to** satisfiability
- ▶ Why ? Consider the formula  $\Diamond x \wedge \Diamond\neg x$  and use the inference rule of Uniform Substitution

# Introduction

## In Intuitionistic Propositional Logic

The following rules are **admissible** but **not derivable**

- ▶  $\frac{\neg x \rightarrow y \vee z}{(\neg x \rightarrow y) \vee (\neg x \rightarrow z)}$  — **Harrop rule (1960)**
- ▶  $\frac{(\neg \neg x \rightarrow x) \rightarrow (x \vee \neg x)}{\neg \neg x \vee \neg x}$  — **Lemmon-Scott rule**
- ▶  $\frac{(x \rightarrow y) \rightarrow (x \vee \neg y)}{\neg \neg x \vee \neg y}$  — **generalized Lemmon-Scott rule**
- ▶  $\frac{(x \rightarrow y) \rightarrow x \vee z}{((x \rightarrow y) \rightarrow x) \vee ((x \rightarrow y) \rightarrow z)}$  — **Mints rule (1972)**

## In S4

The following rule is **admissible** but **not derivable**

- ▶  $\frac{\Box(\Box(\Box\Diamond\Box x \rightarrow x) \rightarrow (\Box x \vee \Box\neg\Box x))}{\Box\Diamond\Box x \vee \Box\neg\Box x}$

# Introduction

## About Classical Propositional Logic

Classical Propositional Logic is **structurally complete**

- ▶ Thus, **admissibility in Classical Propositional Logic is decidable**

## About intermediate logics

**Rybakov (1981): If  $L$  is an intermediate logic then the following are equivalent**

- ▶ Rule  $\mathcal{R}$  is **admissible** in  $L$
- ▶ The **modal translation** of rule  $\mathcal{R}$  is **admissible** in the greatest modal companion of  $L$



# Introduction

## Rybakov (1982)

- ▶ The admissibility problem in extensions of  $S4.3$  is **decidable**

## Rybakov (1984)

- ▶ The admissibility problem in  $S4$  is **decidable**

## Chagrov (1992)

- ▶ There exists a **decidable normal modal logic** with an **undecidable** admissibility problem

## Wolter and Zakharyashev (2008)

- ▶ The unification problem for **any normal modal logic** between  $K_U$  and  $K4_U$  is **undecidable**

# Introduction

## Contents

- ▶ **Definitions**
- ▶ Boolean unification
- ▶ Modal unification
- ▶ Unification types in modal logics
- ▶ Unification in description logics
- ▶ Recent advances

# Definitions

## Substitutions

- ▶  $\sigma$ : variable  $x \mapsto$  formula  $\sigma(x)$

## Applying substitutions to formulas

- ▶  $\sigma(\varphi(x_1, \dots, x_n)) = \varphi(\sigma(x_1), \dots, \sigma(x_n))$

## Composition of substitutions

- ▶  $\sigma \circ \tau$ : variable  $x \mapsto$  formula  $\tau(\sigma(x))$

# Definitions

Let  $L$  be a propositional logic

## Equivalence relation between substitutions

- ▶  $\sigma \simeq_L \tau$  iff for all variables  $x$ ,  $\sigma(x) \leftrightarrow \tau(x) \in L$
- ▶ “ $\sigma$  and  $\tau$  are  $L$ -equivalent”
- ▶ Example in Classical Propositional Logic :
  - ▶  $\sigma(x) = x \leftrightarrow y$
  - ▶  $\tau(x) = (x \wedge y) \vee (\neg x \wedge \neg y)$

## Partial order between substitutions

- ▶  $\sigma \preceq_L \tau$  iff there exists a substitution  $\mu$  such that  $\sigma \circ \mu \simeq_L \tau$
- ▶ “ $\sigma$  is less specific, more general than  $\tau$  in  $L$ ”
- ▶ Example in Classical Propositional Logic :
  - ▶  $\sigma(x) = x \vee y$
  - ▶  $\tau(x) = (x \wedge y) \vee (\neg x \wedge \neg y)$

# Definitions

## Unifiers

- ▶ A substitution  $\sigma$  is a unifier of a formula  $\varphi$  iff  $\sigma(\varphi) \in L$

## Complete sets of unifiers

- ▶ A set  $\Sigma$  of unifiers of a formula  $\varphi$  is complete iff for all unifiers  $\tau$  of  $\varphi$ , there exists a unifier  $\sigma$  of  $\varphi$  in  $\Sigma$  such that  $\sigma \preceq_L \tau$

## Important questions

- ▶ Given a formula, has it a unifier?
- ▶ If so, has it a minimal complete set of unifiers?
- ▶ If so, how large is this set? Is this set effectively calculable?

- ▶ Definitions
- ▶ **Boolean unification**
- ▶ Modal unification
- ▶ Unification types in modal logics
- ▶ Unification in description logics
- ▶ Recent advances

# Boolean unification

## Syntax

- ▶  $\varphi ::= x \mid p \mid \perp \mid \neg\varphi \mid (\varphi \vee \psi)$

## Abbreviations for $\top$ , $\wedge$ , etc

- ▶ As usual

## Example of a Boolean unification problem

- ▶  $(x \leftrightarrow y) \leftrightarrow (x \vee y)$

## Solution

- ▶  $\sigma(x) = \top$  and  $\sigma(y) = \top$

# Boolean unification

## Syntax

- ▶  $\varphi ::= x \mid p \mid \perp \mid \neg\varphi \mid (\varphi \vee \psi)$

## Abbreviations for $\top$ , $\wedge$ , etc

- ▶ As usual

## Example of a Boolean unification problem

- ▶  $(x \rightarrow y) \wedge (\neg x \rightarrow z)$

## Solutions

- ▶  $\sigma(x) = \perp$ ,  $\sigma(y) = y$  and  $\sigma(z) = \top$
- ▶  $\sigma(x) = \top$ ,  $\sigma(y) = \top$  and  $\sigma(z) = z$
- ▶  $\sigma(x) = x \wedge y$ ,  $\sigma(y) = (x \wedge y) \vee (y \wedge z)$  and  $\sigma(z) = x \wedge y \rightarrow z$



# Boolean unification

## Syntax

- ▶  $\varphi ::= x \mid p \mid \perp \mid \neg\varphi \mid (\varphi \vee \psi)$

## Abbreviations for $\top$ , $\wedge$ , etc

- ▶ As usual

## Example of a Boolean unification problem

- ▶  $(x \rightarrow p) \wedge (q \rightarrow y)$

## Solutions

- ▶  $\sigma(x) = \perp$  and  $\sigma(y) = \top$
- ▶  $\sigma(x) = p$  and  $\sigma(y) = q$
- ▶  $\sigma(x) = p \wedge x$  and  $\sigma(y) = q \vee y$

# Boolean unification

## Proposition

Without parameters, Boolean unification is **NP-complete**

- ▶  $\varphi(\bar{x})$  is *CPL*-unifiable  $\iff \exists \bar{x} \varphi(\bar{x})$  is *QBF*-valid

With parameters, Boolean unification is  **$\Pi_2^P$ -complete**

- ▶  $\varphi(\bar{p}, \bar{x})$  is *CPL*-unifiable  $\iff \forall \bar{p} \exists \bar{x} \varphi(\bar{p}, \bar{x})$  is *QBF*-valid

**Baader (1998)**

# Boolean unification

## Projective formulas

- ▶ A formula  $\varphi$  is said to be **projective** iff it has a unifier  $\sigma$  such that  $\varphi \rightarrow (\sigma(x) \leftrightarrow x)$  is in *CPL*

Any unifier  $\sigma$  of  $\varphi$  satisfying the above condition is called a **projective unifier** of  $\varphi$

**Lemma** Projective unifiers are closed under compositions

**Lemma** Projective unifiers are most general unifiers

# Boolean unification

## Lemma Unifiable formulas are projective

**Proof:** Consider a unifier  $\sigma$  of  $\varphi$

- ▶ Let  $\epsilon$  be the substitution such that
$$\epsilon(x) = (\varphi \wedge x) \vee (\neg\varphi \wedge \sigma(x))$$
- ▶ **Fact:**
  1.  $\varphi \rightarrow (\epsilon(\psi) \leftrightarrow \psi)$  is in *CPL*
  2.  $\neg\varphi \rightarrow (\epsilon(\psi) \leftrightarrow \sigma(\psi))$  is in *CPL*
- ▶ Thus,  $\epsilon$  is a projective unifier of  $\varphi$

# Boolean unification

**Lemma** Projective unifiers are closed under compositions

**Lemma** Projective unifiers are most general unifiers

**Lemma** Unifiable formulas are projective

**Proposition** Boolean unification is unitary, i.e. every unifiable formula has a most general unifier

# Boolean unification

- ▶ **Baader, F.:** *On the complexity of Boolean unification.* Information Processing Letters **67** (1998) 215–220.
- ▶ **Baader, F., Ghilardi, S.:** *Unification in modal and description logics.* Logic Journal of the IGPL **19** (2011) 705–730.
- ▶ **Martin, U., Nipkow, T.:** *Boolean unification — the story so far.* Journal of Symbolic Computation **7** (1989) 275–293.

- ▶ Definitions
- ▶ Boolean unification
- ▶ **Modal unification**
- ▶ Unification types in modal logics
- ▶ Unification in description logics
- ▶ Recent advances

# Modal unification

## Syntax

- ▶  $\varphi ::= x \mid p \mid \perp \mid \neg\varphi \mid (\varphi \vee \psi) \mid \Box\varphi$

## Abbreviation

- ▶  $\Diamond\varphi ::= \neg\Box\neg\varphi$

## Examples of modal unification problems

- ▶  $\Box\neg x \vee \Box x$
- ▶  $x \rightarrow \Box x$
- ▶  $(x \rightarrow p) \wedge (x \rightarrow \Box(p \rightarrow x))$



# Modal unification

## Semantics

- ▶ **Frame:** directed graph  $\mathcal{F} = (W, R)$
- ▶ **Models:**  $\mathcal{M} = (W, R, V)$  where  $V: x \mapsto V(x) \subseteq W$

## Truth conditions in a model

- ▶  $\mathcal{M}, s \models x$  iff  $s \in V(x)$
- ▶  $\mathcal{M}, s \not\models \perp$
- ▶  $\mathcal{M}, s \models \neg\varphi$  iff  $\mathcal{M}, s \not\models \varphi$
- ▶  $\mathcal{M}, s \models \varphi \vee \psi$  iff  $\mathcal{M}, s \models \varphi$  or  $\mathcal{M}, s \models \psi$
- ▶  $\mathcal{M}, s \models \Box\varphi$  iff  $\forall t \in W$ , if  $sRt$  then  $\mathcal{M}, t \models \varphi$

# Modal unification

## Semantics

- ▶ **Frame:** directed graph  $\mathcal{F} = (W, R)$
- ▶ **Models:**  $\mathcal{M} = (W, R, V)$  where  $V: x \mapsto V(x) \subseteq W$

## Validity in a frame

- ▶  $\varphi$  is **valid** in frame  $\mathcal{F}$  iff  $\varphi$  is true at every node of every model based on  $\mathcal{F}$

# Modal unification

## Normal modal logics

- ▶ A set  $L$  of formula is a normal modal logic iff
  1.  $L$  contains all tautologies
  2.  $L$  contains  $\Box(\varphi \rightarrow \psi) \rightarrow (\Box\varphi \rightarrow \Box\psi)$
  3.  $L$  is closed under modus ponens:  $\frac{\varphi, \varphi \rightarrow \psi}{\psi}$
  4.  $L$  is closed under uniform substitution:  $\frac{\varphi}{\sigma(\varphi)}$
  5.  $L$  is closed under generalization:  $\frac{\varphi}{\Box\varphi}$

# Modal unification

## Examples of normal modal logics

- ▶ Least normal modal logic:  $K$
- ▶ Additional axiom  $D$ :  $\Diamond \top$
- ▶ Additional axiom  $T$ :  $\Box \varphi \rightarrow \varphi$
- ▶ Additional axiom 4:  $\Box \varphi \rightarrow \Box \Box \varphi$
- ▶ Additional axiom 5:  $\Diamond \varphi \rightarrow \Box \Diamond \varphi$

# Modal unification

**Remark** that the following statements are equivalent

- ▶ Formula  $\varphi(x_1, \dots, x_n)$  is **unifiable**
- ▶ Rule  $\frac{\varphi(x_1, \dots, x_n)}{\perp}$  is **non-admissible**

**Thus**, unification can be reduced to non-admissibility

**Ghilardi (1999)** observed that in many normal modal logics  $L$ ,  
Admissibility can be reduced to unification

- ▶ Assume that for a unifiable formula  $\varphi$ , **one can compute a finite complete set  $\Sigma$  of unifiers**
- ▶ Thus, to decide whether the rule  $\frac{\varphi}{\psi}$  is admissible in  $L$ , **it is enough to enumerate  $\Sigma$  and to check whether  $\sigma(\psi)$  is in  $L$  for all  $\sigma$  in  $\Sigma$**

# Modal unification

**Lemma** The unification problem **is trivially decidable** (***NP*-complete**) for any normal modal logic containing  $\diamond T$

- ▶ *KD*, *KT*, *S4*, *S4.3*, *S5*

From the results of **Rybakov 1984, 1997**

- ▶ The unification and admissibility problems **are decidable** for intuitionistic logic, *GL* and *S4*

From the results of **Jeřábek 2005, 2007**

- ▶ The admissibility problem **is *coNEXPTIME*-complete** for intuitionistic logic, *GL* and *S4*

# Modal unification

From the results of **Chagrov 1992**

- ▶ Only one — rather artificial — example of a **decidable** unimodal logic for which the admissibility problem is **undecidable**

# Modal unification

Admissibility in  $Alt_1 \times Alt_1$  is undecidable

## Syntax

- ▶  $\varphi ::= x \mid \perp \mid \neg\varphi \mid (\varphi \vee \psi) \mid [h]\varphi \mid [v]\varphi$

## Abbreviations

- ▶  $\langle h \rangle\varphi ::= \neg[h]\neg\varphi$
- ▶  $\langle v \rangle\varphi ::= \neg[v]\neg\varphi$



# Modal unification

Admissibility in  $Alt_1 \times Alt_1$  is undecidable

## Semantics

- ▶ **Frame:** grid  $\mathcal{F} = (I, J)$  where  $I, J \geq 1$
- ▶ **Models:**  $\mathcal{M} = (I, J, V)$  where  $V$ :  
 $x \mapsto V(x) \subseteq \{1, \dots, I\} \times \{1, \dots, J\}$

## Truth conditions in a model

- ▶  $\mathcal{M}, (i, j) \models x$  iff  $(i, j) \in V(x)$
- ▶  $\mathcal{M}, (i, j) \models [h]\varphi$  iff if  $i < I$  then  $\mathcal{M}, (i + 1, j) \models \varphi$
- ▶  $\mathcal{M}, (i, j) \models [v]\varphi$  iff if  $j < J$  then  $\mathcal{M}, (i, j + 1) \models \varphi$

## Satisfiability is

- ▶ *NP*-complete

# Modal unification

Admissibility in  $Alt_1 \times Alt_1$  is undecidable

## Semantics

- ▶ **Frame:** grid  $\mathcal{F} = (I, J)$  where  $I, J \geq 1$
- ▶ **Models:**  $\mathcal{M} = (I, J, V)$  where  $V$ :  
 $x \mapsto V(x) \subseteq \{1, \dots, I\} \times \{1, \dots, J\}$

## Truth conditions in a model

- ▶  $\mathcal{M}, (i, j) \models x$  iff  $(i, j) \in V(x)$
- ▶  $\mathcal{M}, (i, j) \models [h]\varphi$  iff if  $i < I$  then  $\mathcal{M}, (i + 1, j) \models \varphi$
- ▶  $\mathcal{M}, (i, j) \models [v]\varphi$  iff if  $j < J$  then  $\mathcal{M}, (i, j + 1) \models \varphi$

## Admissibility is

- ▶ **undecidable**

# Modal unification

Admissibility in  $Alt_1 \times Alt_1$  is undecidable

## Computability of admissibility

- ▶ **undecidable**

## Proof

- ▶ Reduction of the domino-tiling problem  $(\Delta, H, V, \Delta_u, \Delta_d, \Delta_l, \Delta_r)$  where
  - ▶  $\Delta$  is a finite set of domino-types
  - ▶  $H$  and  $V$  are binary relations on  $\Delta$
  - ▶  $\Delta_u, \Delta_d, \Delta_l, \Delta_r$  are subsets of  $\Delta$

# Modal unification

$K_U$ : **least normal modal logic** with the **universal modality**

$K4_U$ : **least normal modal logic** with the **universal modality**  
that contains the **extra formula**

▶  $\Box x \rightarrow \Box\Box x$

From the results of **Wolter and Zakharyashev 2008**

- ▶ The unification problem for modal logics between  $K_U$  and  $K4_U$  **is undecidable**

# Modal unification

The unification and admissibility problems for  $K$  itself . . .

- ▶ . . . still remain **open**

Unfortunately, nothing is known about

- ▶ **The decidability status** of the unification and admissibility problems for
  - ▶ Basic modal logic  $K$
  - ▶ Various multimodal logics
  - ▶ Various hybrid logics
  - ▶ Various description logics

- ▶ Definitions
- ▶ Boolean unification
- ▶ Modal unification
- ▶ **Unification types in modal logics**
- ▶ Unification in description logics
- ▶ Recent advances

# Unification types in modal logics

## Unification types in propositional logic

Let  $L$  be a propositional logic and  $\varphi$  be a formula

An  **$L$ -unifier** of  $\varphi$  is a substitution  $\sigma$  such that

- ▶  $\sigma(\varphi) \in L$

We shall say that  $\varphi$  is of **type unitary (1)** for  $L$  iff

- ▶ There exists a complete minimal set  $\Sigma$  of  $L$ -unifiers of  $\varphi$
- ▶  $Card(\Sigma) = 1$

# Unification types in modal logics

## Unification types in propositional logic

Let  $L$  be a propositional logic and  $\varphi$  be a formula

An  **$L$ -unifier** of  $\varphi$  is a substitution  $\sigma$  such that

- ▶  $\sigma(\varphi) \in L$

We shall say that  $\varphi$  is of **type finitary ( $\omega$ )** for  $L$  iff

- ▶ There exists a complete minimal set  $\Sigma$  of  $L$ -unifiers of  $\varphi$
- ▶  $Card(\Sigma) \neq 1$  but  $\Sigma$  is finite



# Unification types in modal logics

## Unification types in propositional logic

Let  $L$  be a propositional logic and  $\varphi$  be a formula

An  **$L$ -unifier** of  $\varphi$  is a substitution  $\sigma$  such that

- ▶  $\sigma(\varphi) \in L$

We shall say that  $\varphi$  is of **type infinitary ( $\infty$ )** for  $L$  iff

- ▶ There exists a complete minimal set  $\Sigma$  of  $L$ -unifiers of  $\varphi$
- ▶  $\Sigma$  is infinite

# Unification types in modal logics

## Unification types in propositional logic

Let  $L$  be a propositional logic and  $\varphi$  be a formula

An  **$L$ -unifier** of  $\varphi$  is a substitution  $\sigma$  such that

- ▶  $\sigma(\varphi) \in L$

We shall say that  $\varphi$  is of **type nullary (0)** for  $L$  iff

- ▶ There exists no complete minimal set of  $L$ -unifiers of  $\varphi$

# Unification types in modal logics

## Unification types in propositional logic

Let  $L$  be a propositional logic

We shall say that  $L$  is of **type unitary/finitary** iff

- ▶ For all formulas  $\varphi$ ,  $\varphi$  is of **type unitary/finitary** for  $L$

### Examples

- ▶ Unification in **classical propositional logic** is **unitary**
- ▶ Unification in **intuitionistic propositional logic** is **finitary**

# Unification types in modal logics

## Unification types in propositional logic

Let  $L$  be a propositional logic

We shall say that  $L$  is of **type infinitary/nullary** iff

- ▶ There exists a formula  $\varphi$  such that  $\varphi$  is of **type infinitary/nullary** for  $L$

### Example

- ▶ Unification in **modal logic  $K$**  is **nullary**

# Unification types in modal logics

## Unification in intuitionistic propositional logic

We have seen: *CPL*-unification **is unitary**, i.e.

- ▶ For all formulas  $\varphi(x_1, \dots, x_n)$ , the cardinality of a minimal complete set of *CPL*-unifiers **is at most 1**

**Ghilardi (1999)** has demonstrated that *IPL*-unification **is finitary**, i.e.

- ▶ For all formulas  $\varphi(x_1, \dots, x_n)$ , the cardinality of a minimal complete set of *IPL*-unifiers **is finite**

**Example** The formulas  $x \vee \neg x$  **is *IPL*-unifiable** with the 2 following most general *IPL*-unifiers

- ▶  $\sigma(x) = \perp$
- ▶  $\tau(x) = \top$

# Unification types in modal logics

## Unification in intuitionistic propositional logic

We have seen:

- ▶ The **complexity** of Boolean unification **is NP-complete**

**It can be easily proved that:**

- ▶ The **complexity** of *IPL*-unification **is NP-complete too**

**Lemma** For all formulas  $\varphi$ , the following statements are equivalent:

- ▶  $\varphi$  **is IPL-unifiable**
- ▶  $\varphi$  **is CPL-unifiable**

# Unification types in modal logics

## Unification in intuitionistic propositional logic

**Proposition** *IPL*-unification is **NP-complete**

**Proof:** By the above Lemma

**Remark** For *IPL*-unification with constants, see

- ▶ **Rybakov, V.:** *Rules of inference with parameters for intuitionistic logic.* The Journal of Symbolic Logic **57** (1992) 912–923.

**Proposition (Ghilardi 1999)** *IPL*-unification is **finitary**, i.e.

- ▶ For all formulas  $\varphi(x_1, \dots, x_n)$ , the cardinality of a minimal complete set of *IPL*-unifiers is **finite**

**Proof:** We will demonstrate a similar result for *K4*

# Unification types in modal logics

## Unification in $K4$

### Modal logic $K4$

- ▶ Syntax
  - ▶  $\varphi ::= x \mid p \mid \perp \mid \neg\varphi \mid (\varphi \vee \psi) \mid \Box\varphi$
- ▶ Abbreviations
  - ▶  $\Diamond\varphi ::= \neg\Box\neg\varphi$
  - ▶  $\Box^+\varphi ::= \varphi \wedge \Box\varphi$
- ▶ Semantics
  - ▶ **Frame:** directed graph  $\mathcal{F} = (W, R)$  where  $R$  is transitive
  - ▶ **Model:**  $\mathcal{M} = (W, R, V)$  where  $V: p \mapsto V(p) \subseteq W$



# Unification types in modal logics

## Unification in $K4$

### Modal logic $K4$

- ▶ Syntax

- ▶  $\varphi ::= x \mid p \mid \perp \mid \neg\varphi \mid (\varphi \vee \psi) \mid \Box\varphi$

- ▶ Abbreviations

- ▶  $\Diamond\varphi ::= \neg\Box\neg\varphi$

- ▶  $\Box^+\varphi ::= \varphi \wedge \Box\varphi$

- ▶ **Truth conditions** in a model  $\mathcal{M} = (W, R, V)$

- ▶  $\mathcal{M}, x \models p$  iff  $x \in V(p)$

- ▶  $\mathcal{M}, x \models \Box\varphi$  iff  $\forall y \in W$ , if  $xRy$  then  $\mathcal{M}, y \models \varphi$

# Unification types in modal logics

## Unification in $K4$

**Proposition (Rybakov 1984, 1997)**  $K4$ -unification **is decidable**

**Proof:** Later

**Proposition (Ghilardi 2000)**  $K4$ -unification **is finitary**, i.e.

- ▶ For all formulas  $\varphi(x_1, \dots, x_n)$ , the cardinality of a minimal complete set of  $K4$ -unifiers **is finite**

**Proof:** Later

# Unification types in modal logics

## Unification in $K4$

A formula of the kind  $\Box^+\varphi(x_1, \dots, x_n)$  is said to be **projective** iff **there exists a substitution**  $\sigma$  such that

1.  $\sigma$  is a  $K4$ -unifier of  $\Box^+\varphi$
2.  $\Box^+\varphi \rightarrow (x_i \leftrightarrow \sigma(x_i)) \in K4$  for each  $i$  such that  $1 \leq i \leq n$

**Remark** This definition resembles the definition of a unifier being **transparent** as used in

- ▶ **Dzik, W.:** *Transparent unifiers in modal logics with self-conjugate operators*. Bulletin of the Section of Logic **35** (2006) 73–83.

# Unification types in modal logics

## Unification in $K4$

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**Example** To see that the formula  $\Box^+\varphi = \Box^+x$  **is projective**, it suffices to consider the substitution  $\sigma(x) = \top$

- ▶  $\sigma(\Box^+\varphi) = \Box^+\top$
- ▶  $\Box^+\varphi \rightarrow (x \leftrightarrow \sigma(x)) = \Box^+x \rightarrow (x \leftrightarrow \top)$

# Unification types in modal logics

## Unification in $K4$

A formula of the kind  $\Box^+\varphi(x_1, \dots, x_n)$  is said to be **projective** iff **there exists a substitution**  $\sigma$  such that

1.  $\sigma$  is a  $K4$ -unifier of  $\Box^+\varphi$
2.  $\Box^+\varphi \rightarrow (x_i \leftrightarrow \sigma(x_i)) \in K4$  for each  $i$  such that  $1 \leq i \leq n$

**Remark** The following statements hold:

- ▶ Such  $\sigma$  **is a most general  $K4$ -unifier** for  $\Box^+\varphi$
- ▶  $\Box^+\varphi \rightarrow (\psi \leftrightarrow \sigma(\psi)) \in K4$  **for each formula**  $\psi(x_1, \dots, x_n)$
- ▶ The set of all substitutions satisfying condition 2 **is closed under compositions**

# Unification types in modal logics

## Unification in $K4$

A formula of the kind  $\Box^+ \varphi(x_1, \dots, x_n)$  is said to be **projective** iff **there exists a substitution**  $\sigma$  such that

1.  $\sigma$  is a  $K4$ -unifier of  $\Box^+ \varphi$
2.  $\Box^+ \varphi \rightarrow (x_i \leftrightarrow \sigma(x_i)) \in K4$  for each  $i$  such that  $1 \leq i \leq n$

For all  $A \subseteq \{1, \dots, n\}$ , let  $\theta_\varphi^A$  be the substitution defined by

- ▶  $\theta_\varphi^A(x_i) = \Box^+ \varphi \rightarrow x_i$  if  $i \in A$
- ▶  $\theta_\varphi^A(x_i) = \Box^+ \varphi \wedge x_i$  if  $i \notin A$

**Remark** The substitution  $\theta_\varphi^A$  **satisfies condition 2**

# Unification types in modal logics

## Unification in $K4$

A formula of the kind  $\Box^+\varphi(x_1, \dots, x_n)$  is said to be **projective** iff **there exists a substitution**  $\sigma$  such that

1.  $\sigma$  is a  $K4$ -unifier of  $\Box^+\varphi$
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For all  $A \subseteq \{1, \dots, n\}$ , let  $\theta_\varphi^A$  be the substitution defined by

- ▶  $\theta_\varphi^A(x_i) = \Box^+\varphi \rightarrow x_i$  if  $i \in A$
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Given an arbitrary enumeration  $A_1, \dots, A_{2^n}$  of the subsets of  $\{1, \dots, n\}$ , let  $\theta_\varphi = \theta_\varphi^{A_1} \circ \dots \circ \theta_\varphi^{A_{2^n}}$

# Unification types in modal logics

## Unification in $K4$

**Proposition** For all formulas of the kind  $\Box^+ \varphi(x_1, \dots, x_n)$ , if  $d = \text{depth}(\varphi)$  and  $N$  is the number of non- $\sim_d$ -equivalent models over  $x_1, \dots, x_n$ , **the following statements are equivalent:**

- ▶  $\theta_\varphi^{2N}$  is a  $K4$ -unifier of  $\Box^+ \varphi$
- ▶  $\Box^+ \varphi$  is projective
- ▶ **Ghilardi, S.:** *Best solving modal equations*. *Annals of Pure and Applied Logic* **102** (2000) 183–198.

**Corollary** It is **decidable** to determine whether a given formula of the kind  $\Box^+ \varphi$  is projective



# Unification types in modal logics

## Unification in $K4$

**Lemma** For all formulas  $\varphi$  and for all substitutions  $\sigma$ , if  $\sigma$  is a  $K4$ -unifier of  $\varphi$

- ▶ There exists a formula of the kind  $\Box^+\psi$ ,  $depth(\psi) \leq depth(\varphi)$ , such that
  - ▶  $\Box^+\psi$  is projective
  - ▶  $\sigma$  is a  $K4$ -unifier of  $\Box^+\psi$
  - ▶  $\Box^+\psi \rightarrow \varphi \in K4$
- ▶ **Ghilardi, S.:** *Best solving modal equations*. Annals of Pure and Applied Logic **102** (2000) 183–198.

# Unification types in modal logics

## Unification in $K4$

**Proposition (Ghilardi 2000)**  $K4$ -unification **is finitary**, i.e.

- ▶ For all formulas  $\varphi(x_1, \dots, x_n)$ , the cardinality of a minimal complete set of  $K4$ -unifiers **is finite**

**Corollary**  $K4$ -unification **is decidable**

**Proof:** Given a formula  $\varphi$

- ▶ Determine whether there exists a formula of the kind  $\Box^+\psi$ ,  $depth(\psi) \leq depth(\varphi)$ , such that
  - ▶  $\Box^+\psi$  is projective
  - ▶  $\Box^+\psi \rightarrow \varphi \in K4$

# Unification types in modal logics

## Unification in $S5$

### Modal logic $S5$

- ▶ Syntax
  - ▶  $\varphi ::= x \mid p \mid \perp \mid \neg\varphi \mid (\varphi \vee \psi) \mid \Box\varphi$
- ▶ Abbreviations
  - ▶  $\Diamond\varphi ::= \neg\Box\neg\varphi$
- ▶ Semantics
  - ▶ **Frame:** partition  $\mathcal{F} = (W, R)$ , i.e.  $R$  is an equivalence relation
  - ▶ **Model:**  $\mathcal{M} = (W, R, V)$  where  $V: x \mapsto V(x) \subseteq W$

# Unification types in modal logics

## Unification in $S5$

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- ▶ Abbreviations
  - ▶  $\Diamond\varphi ::= \neg\Box\neg\varphi$
- ▶ **Truth conditions** in a model  $\mathcal{M} = (W, R, V)$ 
  - ▶  $\mathcal{M}, x \models p$  iff  $x \in V(p)$
  - ▶  $\mathcal{M}, x \models \Box\varphi$  iff  $\forall y \in W$ , if  $xRy$  then  $\mathcal{M}, y \models \varphi$

# Unification types in modal logics

## Unification in $S5$

### Modal logic $S5$

- ▶ Syntax

- ▶  $\varphi ::= x \mid p \mid \perp \mid \neg\varphi \mid (\varphi \vee \psi) \mid \Box\varphi$

- ▶ Abbreviations

- ▶  $\Diamond\varphi ::= \neg\Box\neg\varphi$

- ▶ Important properties of modal logic  $S5$

- ▶ For all formulas  $\varphi, \psi$ ,  $\Box(\Box\varphi \wedge \psi) \leftrightarrow \Box\varphi \wedge \Box\psi \in S5$

- ▶ For all formulas  $\varphi, \psi$ ,  $\Box(\Diamond\varphi \vee \psi) \leftrightarrow \Diamond\varphi \vee \Box\psi \in S5$

- ▶ For all variable-free formulas  $\varphi$ , either  $\neg\varphi \in S5$ , or  $\varphi \in S5$

# Unification types in modal logics

## Unification in $S5$

**Remark** The unification problem **is NP-complete** for  $S5$

**Remark** In case we allow extra parameters in the formulas,  $S5$ -unification **becomes a more serious problem**

The formula  $\varphi(p_1, \dots, p_m, x_1, \dots, x_n)$  with parameters  $p_1, \dots, p_m$  and variables  $x_1, \dots, x_n$  **is S5-unifiable** iff there exists formulas  $\chi_1, \dots, \chi_n$  such that  $\varphi(p_1, \dots, p_m, \chi_1, \dots, \chi_n) \in S5$

**Remark** If  $\varphi(p_1, \dots, p_m, x_1, \dots, x_n)$  **is S5-unifiable** then there exists an  $S5$ -unifier **based only on parameters**  $p_1, \dots, p_m$

# Unification types in modal logics

## Unification in $S5$

**Remark** In case we allow extra parameters in the formulas,  $S5$ -unification **becomes a more serious problem**

**Proposition**  $S5$ -unification with parameters **is in  $\Pi_2^{EXP}$**

**Claim**  $S5$ -unification with parameters **is  $coNEXPTIME$ -hard**

# Unification types in modal logics

## Unification in S5

**Proposition (Dzik 2003)** S5-unification **is unitary**, i.e.

- ▶ For all formulas  $\varphi(x_1, \dots, x_n)$ , the cardinality of a minimal complete set of S5-unifiers **is at most 1**

**Proof:** Assume  $\varphi(x_1, \dots, x_n)$  **is S5-unifiable**

- ▶ Thus, there exists a ground substitution  $\sigma$  such that  $\sigma(\varphi) \in S5$
- ▶ Let  $\tau$  be the substitution defined by
  - ▶  $\tau(x_i) = \Box\varphi \rightarrow x_i$  if  $\sigma(x_i) \in S5$
  - ▶  $\tau(x_i) = \Box\varphi \wedge x_i$  if  $\neg\sigma(x_i) \in S5$
- ▶ It can be proved that  $\tau$  **is a most general S5-unifier** of  $\varphi$



# Unification types in modal logics

## Unification in $S5$

**Remark** The proofs that the **unification problems in classical propositional logic and in  $S5$  are unitary** are based on the

- ▶ **Fact** Given a unifiable formula  $\varphi(x_1, \dots, x_n)$ ,
  - ▶ There exists a unifier  $\sigma$  of  $\varphi$  such that for all  $i$ , if  $1 \leq i \leq n$ ,  
 $\varphi \rightarrow (x_i \leftrightarrow \sigma(x_i)) \in L$

**Remark** This fact is used, for example, by Dzik (**transparent unifiers**) and Ghilardi (**projective formulas**) in

- ▶ **Dzik, W.:** *Transparent unifiers in modal logics with self-conjugate operators*. Bulletin of the Section of Logic **35** (2006) 73–83.
- ▶ **Ghilardi, S.:** *Best solving modal equations*. Annals of Pure and Applied Logic **102** (2000) 183–198.

# Unification types in modal logics

## Unification in $S5$

**Remark** The proofs that the **unification problems in classical propositional logic and in  $S5$  are unitary** are based on the

- ▶ **Fact** Given a unifiable formula  $\varphi(x_1, \dots, x_n)$ ,
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 $\varphi \rightarrow (x_i \leftrightarrow \sigma(x_i)) \in L$

**Remark** It is true that **if  $L$  satisfies the above fact,  $L$ -unification is unitary** but the converse is not always true

- ▶  **$S4.2Grz$ -unification is unitary** (Ghilardi 2000)
- ▶  **$S4.2Grz$  does not satisfy the above fact** (Dzik 2006)

# Unification types in modal logics

## Unification in $K$

### Modal logic $K$

#### ▶ Syntax

$$\text{▶ } \varphi ::= x \mid \perp \mid \neg\varphi \mid (\varphi \vee \psi) \mid \Box\varphi$$

#### ▶ Abbreviations

$$\text{▶ } \Diamond\varphi ::= \neg\Box\neg\varphi$$

$$\text{▶ } \Box^{<n}\varphi ::= \Box^0\varphi \wedge \dots \wedge \Box^{n-1}\varphi \text{ for each } n \in \mathcal{N}$$

#### ▶ Semantics

▶ **Frame:** directed graph  $\mathcal{F} = (W, R)$

▶ **Model:**  $\mathcal{M} = (W, R, V)$  where  $V: p \mapsto V(p) \subseteq W$

# Unification types in modal logics

## Unification in $K$

### Modal logic $K$

- ▶ Syntax

- ▶  $\varphi ::= x \mid \perp \mid \neg\varphi \mid (\varphi \vee \psi) \mid \Box\varphi$

- ▶ Abbreviations

- ▶  $\Diamond\varphi ::= \neg\Box\neg\varphi$

- ▶  $\Box^{<n}\varphi ::= \Box^0\varphi \wedge \dots \wedge \Box^{n-1}\varphi$  for each  $n \in \mathcal{N}$

- ▶ **Truth conditions** in a model  $\mathcal{M} = (W, R, V)$

- ▶  $\mathcal{M}, x \models p$  iff  $x \in V(p)$

- ▶  $\mathcal{M}, x \models \Box\varphi$  iff  $\forall y \in W$ , if  $xRy$  then  $\mathcal{M}, y \models \varphi$

# Unification types in modal logics

## Unification in $K$

### Open question Is $K$ -unification decidable?

$K$ -unification **is not unitary** since

- ▶  $\sigma_{\top}(x) = \top$  and  $\sigma_{\perp}(x) = \perp$  constitute a minimal complete set of unifiers in  $K$  of the formula  $\Diamond x \rightarrow \Box x$

**Our purpose:** demonstrate that  $K$ -unification **is nullary**, i.e.

- ▶ There exists a formula  $\varphi$  such that there exists no complete minimal set of  $K$ -unifiers of  $\varphi$

**Method (Jeřábek, 2014)** Study the  $K$ -unifiers of

- ▶  $x \rightarrow \Box x$

# Unification types in modal logics

## Unification in $K$

**Method (Jeřábek, 2014)** Study the  $K$ -unifiers of

- ▶  $x \rightarrow \Box x$

Consider the following substitutions

- ▶  $\sigma_n(x) = \Box^{<n}x \wedge \Box^n \perp$  for each  $n \in \mathcal{N}$
- ▶  $\sigma_{\top}(x) = \top$

**Lemma**

- ▶  $\sigma_n$  **is a  $K$ -unifier** of  $x \rightarrow \Box x$  for each  $n \in \mathcal{N}$
- ▶  $\sigma_{\top}$  **is a  $K$ -unifier** of  $x \rightarrow \Box x$

# Unification types in modal logics

## Unification in $K$

**Method (Jeřábek, 2014)** Study the  $K$ -unifiers of

▶  $x \rightarrow \Box x$

Consider the following substitutions

▶  $\sigma_n(x) = \Box^{<n}x \wedge \Box^n \perp$  for each  $n \in \mathcal{N}$

▶  $\sigma_{\top}(x) = \top$

**Lemma** For all  $K$ -unifiers  $\sigma$  of  $x \rightarrow \Box x$  and for all  $n \in \mathcal{N}$ , the following statements are equivalent:

▶  $\sigma \leq_K \sigma_n$

▶  $\sigma(x) \rightarrow \Box^n \perp \in K$

# Unification types in modal logics

## Unification in $K$

**Method (Jeřábek, 2014)** Study the  $K$ -unifiers of

▶  $x \rightarrow \Box x$

Consider the following substitutions

▶  $\sigma_n(x) = \Box^{<n}x \wedge \Box^n \perp$  for each  $n \in \mathcal{N}$

▶  $\sigma_{\top}(x) = \top$

**Lemma** For all substitutions  $\sigma$ , the following statements are equivalent:

▶  $\sigma \leq_K \sigma_{\top}$

▶  $\sigma(x) \in K$



# Unification types in modal logics

## Unification in $K$

**Proposition (Jeřábek, 2014)** For all formulas  $\varphi$ ,  $depth(\varphi) = n$ ,

- ▶ If  $\varphi \rightarrow \Box\varphi \in K$  then either  $\varphi \rightarrow \Box^n \perp \in K$ , or  $\varphi \in K$

**Corollary** The following substitutions form a complete set of  $K$ -unifiers for the formula  $x \rightarrow \Box x$

- ▶  $\sigma_n(x) = \Box^{<n} x \wedge \Box^n \perp$  for each  $n \in \mathcal{N}$
- ▶  $\sigma_{\top}(x) = \top$

**Corollary**  $K$ -unification is nullary, i.e.

- ▶ There exists a formula  $\varphi$  such that there exists no complete minimal set of  $K$ -unifiers of  $\varphi$

**Proof:** Take  $\varphi = x \rightarrow \Box x$

- ▶ Definitions
- ▶ Boolean unification
- ▶ Modal unification
- ▶ Unification types in modal logics
- ▶ **Unification in description logics**
- ▶ Recent advances

# Unification in description logics

**Syntax** of the **basic Boolean description language**  $\mathcal{FL}_0$

- ▶  $C ::= A \mid \top \mid (C \sqcap D) \mid \forall R.C$  — **concept descriptions**
- ▶  $A$  denotes an arbitrary **atomic concept**
- ▶  $R$  denotes an arbitrary **atomic role**

**Example** of  $\mathcal{FL}_0$ -concept description

- ▶  $Woman \sqcap \forall child.Woman$

See

- ▶ **Baader, F.:** *Terminological cycles in KL-ONE-based knowledge representation languages*. In: AAI'90 Proceedings of the eighth National conference on Artificial intelligence. AAAI Press (1990) 621–626.

# Unification in description logics

**Syntax** of the **basic Boolean description language**  $\mathcal{FL}_0$

- ▶  $C ::= A \mid \top \mid (C \sqcap D) \mid \forall R.C$  — **concept descriptions**

An **interpretation** is a pair  $\mathcal{I} = (\Delta^{\mathcal{I}}, \cdot^{\mathcal{I}})$  where

- ▶  $\Delta^{\mathcal{I}}$  is a non-empty set — the **domain** of  $\mathcal{I}$
- ▶  $\cdot^{\mathcal{I}}$  is the **interpretation function**
  - ▶  $A \mapsto A^{\mathcal{I}} \subseteq \Delta^{\mathcal{I}}$
  - ▶  $R \mapsto R^{\mathcal{I}} \subseteq \Delta^{\mathcal{I}} \times \Delta^{\mathcal{I}}$

# Unification in description logics

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  - ▶  $A \mapsto A^{\mathcal{I}} \subseteq \Delta^{\mathcal{I}}$
  - ▶  $R \mapsto R^{\mathcal{I}} \subseteq \Delta^{\mathcal{I}} \times \Delta^{\mathcal{I}}$

The **interpretation function**  $\cdot^{\mathcal{I}}$  is inductively extended to concept descriptions

- ▶  $(A)^{\mathcal{I}} = A^{\mathcal{I}}$
- ▶  $(\top)^{\mathcal{I}} = \Delta^{\mathcal{I}}$
- ▶  $(C \sqcap D)^{\mathcal{I}} = (C)^{\mathcal{I}} \cap (D)^{\mathcal{I}}$
- ▶  $(\forall R.C)^{\mathcal{I}} = \{d \in \Delta^{\mathcal{I}} : \forall e \in \Delta^{\mathcal{I}}, \text{ if } (d, e) \in R^{\mathcal{I}} \text{ then } e \in (C)^{\mathcal{I}}\}$

# Unification in description logics

Two concept descriptions  $C, D$  are **equivalent** ( $C \equiv D$ ) iff

- ▶  $(C)^{\mathcal{I}} = (D)^{\mathcal{I}}$  holds for all interpretations  $\mathcal{I}$

The concept description  $D$  **subsumes** the concept description  $C$  ( $C \sqsubseteq D$ ) iff

- ▶  $(C)^{\mathcal{I}} \subseteq (D)^{\mathcal{I}}$  holds for all interpretations  $\mathcal{I}$

**Proposition** Equivalence and subsumption of  $\mathcal{FL}_0$ -concept descriptions **can be decided in polynomial time**

**Proof:**

- ▶ **Levesque, H., Brachman, R.:** *Expressiveness and tractability in knowledge representation and reasoning.* Computational Intelligence **3** (1987) 78–93.

# Unification in description logics

We **partition** the set of all atomic concepts into

- ▶ A set of **concept variables** — denoted  $X, Y, \dots$
- ▶ A set of **concept constants** — denoted  $A, B, \dots$

**Syntax** of the **basic Boolean description language**  $\mathcal{FL}_0$  with variables and constants

- ▶  $C ::= X \mid A \mid \top \mid (C \sqcap D) \mid \forall R.C$  — **concept descriptions**

# Unification in description logics

We **partition** the set of all atomic concepts into

- ▶ A set of **concept variables** — denoted  $X, Y, \dots$
- ▶ A set of **concept constants** — denoted  $A, B, \dots$

Now, an **interpretation** is a pair  $\mathcal{I} = (\Delta^{\mathcal{I}}, \cdot^{\mathcal{I}})$  where

- ▶  $\Delta^{\mathcal{I}}$  is a non-empty set — the **domain** of  $\mathcal{I}$
- ▶  $\cdot^{\mathcal{I}}$  is the **interpretation function**
  - ▶  $X \mapsto X^{\mathcal{I}} \subseteq \Delta^{\mathcal{I}}$
  - ▶  $A \mapsto A^{\mathcal{I}} \subseteq \Delta^{\mathcal{I}}$
  - ▶  $R \mapsto R^{\mathcal{I}} \subseteq \Delta^{\mathcal{I}} \times \Delta^{\mathcal{I}}$



# Unification in description logics

A **substitution**  $\sigma$  is a mapping from the set of all **concept variables** into the set of all  $\mathcal{FL}_0$ -**concept descriptions**

This mapping is inductively extended to concept descriptions

- ▶  $\sigma(A) = A$
- ▶  $\sigma(\top) = \top$
- ▶  $\sigma(C \sqcap D) = \sigma(C) \sqcap \sigma(D)$
- ▶  $\sigma(\forall R.C) = \forall R.\sigma(C)$

# Unification in description logics

The substitution  $\sigma$  is a **unifier** of  $\mathcal{FL}_0$ -concept descriptions  $C$  and  $D$  iff

- ▶  $\sigma(C) \equiv \sigma(D)$

The  $\mathcal{FL}_0$ -concept descriptions  $C$  and  $D$  are **unifiable** iff they have a unifier

**Example** The substitution  $\sigma$  defined by

- ▶  $\sigma(X) = A \sqcap \forall S.A$  and  $\sigma(Y) = \forall R.A$

**is a unifier** of the  $\mathcal{FL}_0$ -concept descriptions

- ▶  $C = \forall R.\forall R.A \sqcap \forall R.X$

- ▶  $D = Y \sqcap \forall R.Y \sqcap \forall R.\forall S.A$

# Unification in description logics

A substitution is **ground** iff

- ▶ The  $\mathcal{FL}_0$ -concept descriptions it substitutes for the variables **do not contain variables**

**Remark** For all  $\mathcal{FL}_0$ -concept descriptions  $C, D$ , the following statements are equivalent:

- ▶ **There exists a unifier** of  $C$  and  $D$
- ▶ **There exists a ground unifier** of  $C$  and  $D$

# Unification in description logics

**Lemma** For all  $\mathcal{FL}_0$ -concept descriptions  $C_1, \dots, C_n, D_1, \dots, D_n$  and for all pairwise distinct roles  $R_1, \dots, R_n$ , the following statements are equivalent:

- ▶  $C_1 \equiv D_1, \dots, C_n \equiv D_n$
- ▶  $\forall R_1.C_1 \sqcap \dots \sqcap \forall R_n.C_n \equiv \forall R_1.D_1 \sqcap \dots \sqcap \forall R_n.D_n$

# Unification in description logics

Given finite sets  $S_0, \dots, S_n, T_0, \dots, T_n$  of words over the alphabet of role names, we consider the **equation**

$$\blacktriangleright S_0 \cup S_1 \cdot X_1 \cup \dots \cup S_n \cdot X_n = T_0 \cup T_1 \cdot X_1 \cup \dots \cup T_n \cdot X_n$$

where

- $\blacktriangleright \cup$  stands for set union
- $\blacktriangleright \cdot$  stands for element-wise concatenation of sets of words

## Examples

- $\blacktriangleright \{R\} \cup \{RS\} \cdot X = \{RSS\} \cup \{R\} \cdot X$
- $\blacktriangleright \{RR\} \cup \{RS\} \cdot Y = \{RSR, RR\} \cup \{R\} \cdot Y$

# Unification in description logics

Given two  $\mathcal{FL}_0$ -concept descriptions  $C, D$ , let

- ▶  $X_1, \dots, X_n$  be the **concept variables** that occur in  $C, D$
- ▶  $A_1, \dots, A_k$  be the **concept constants** that occur in  $C, D$

Abbreviating  $\forall R_1 \dots \forall R_m$  by  $\forall R_1 \dots R_m$ , the  $\mathcal{FL}_0$ -concept descriptions  $C, D$  can be rewritten

- ▶  $C \equiv \forall S_{0,1}.A_1 \sqcap \dots \sqcap \forall S_{0,k}.A_k \sqcap \forall S_1.X_1 \sqcap \dots \sqcap \forall S_n.X_n$
- ▶  $D \equiv \forall T_{0,1}.A_1 \sqcap \dots \sqcap \forall T_{0,k}.A_k \sqcap \forall T_1.X_1 \sqcap \dots \sqcap \forall T_n.X_n$

for finite sets of words  $S_{0,i}, S_j, T_{0,i}, T_j$

# Unification in description logics

**Theorem (Baader and Narendran 2001)** Let  $C, D$  be  $\mathcal{FL}_0$ -concept descriptions such that

- ▶  $C \equiv \forall S_{0,1}.A_1 \sqcap \dots \sqcap \forall S_{0,k}.A_k \sqcap \forall S_1.X_1 \sqcap \dots \sqcap \forall S_n.X_n$
- ▶  $D \equiv \forall T_{0,1}.A_1 \sqcap \dots \sqcap \forall T_{0,k}.A_k \sqcap \forall T_1.X_1 \sqcap \dots \sqcap \forall T_n.X_n$

The following statements are equivalent:

- ▶ The  $\mathcal{FL}_0$ -concept descriptions  $C$  and  $D$  are unifiable
- ▶ For all  $i$ , if  $1 \leq i \leq k$ , the linear equation  $E_{C,D}(A_i)$ 
  - ▶  $S_{0,i} \cup S_1 \cdot X_{1,i} \cup \dots \cup S_n \cdot X_{n,i} = T_{0,i} \cup T_1 \cdot X_{1,i} \cup \dots \cup T_n \cdot X_{n,i}$  has a solution

# Unification in description logics

**Example** Let  $C, D$  be the following  $\mathcal{FL}_0$ -concept descriptions

- ▶  $C = \forall R.(A_1 \sqcap \forall R.A_2) \sqcap \forall R.\forall S.X_1$
- ▶  $D = \forall R.\forall S.(\forall S.A_1 \sqcap \forall R.A_2) \sqcap \forall R.X_1 \sqcap \forall R.\forall R.A_2$

Then

- ▶  $C \equiv C' = \forall\{R\}.A_1 \sqcap \forall\{RR\}.A_2 \sqcap \forall\{RS\}.X_1$
- ▶  $D \equiv D' = \forall\{RSS\}.A_1 \sqcap \forall\{RSR, RR\}.A_2 \sqcap \forall\{R\}.X_1$

The unification of  $C', D'$  leads to the two linear equations

- ▶  $\{R\} \cup \{RS\} \cdot X_{1,1} = \{RSS\} \cup \{R\} \cdot X_{1,1}$
- ▶  $\{RR\} \cup \{RS\} \cdot X_{1,2} = \{RSR, RR\} \cup \{R\} \cdot X_{1,2}$



# Unification in description logics

**Theorem (Baader and Narendran 2001)** Solvability of linear equations can be decided in deterministic exponential time

**Corollary (Baader and Narendran 2001)** Solvability of unification problems in  $\mathcal{FL}_0$  can be decided in deterministic exponential time

- ▶ Definitions
- ▶ Boolean unification
- ▶ Modal unification
- ▶ Unification types in modal logics
- ▶ Unification in description logics
- ▶ **Recent advances**

# Recent advances

## Description logic $\mathcal{EL}$

- ▶ Unification in  $\mathcal{EL}$  is *NP-complete*
- ▶ Unification in  $\mathcal{EL}^{-\top}$  is *PSPACE-complete*

Baader, F., Binh, N., Borgwardt, S., Morawska, B.: *Deciding unifiability and computing local unifiers in the description logic  $\mathcal{EL}$  without top constructor*. Notre Dame Journal of Formal Logic **57** (2016) 443–476.

# Recent advances

$$KD = K + \Diamond T$$

$KD$  is nullary

- ▶  $x \rightarrow p$
- ▶  $x \rightarrow \Box(p \rightarrow x)$

Balbani, P., Gencer, Ç.: *KD is nullary*. Journal of Applied Non-Classical Logics **27** (2018) 196–205.

# Recent advances

$$KT = K + \Box\varphi \rightarrow \varphi$$

$KT$  is nullary

- ▶  $x \rightarrow p$
- ▶  $x \rightarrow \Box(q \rightarrow y)$
- ▶  $y \rightarrow q$
- ▶  $y \rightarrow \Box(p \rightarrow x)$

Balbani, P.: *Remarks about the unification type of several non-symmetric non-transitive modal logics*. Logic Journal of the IGPL (to appear).

# Recent advances

$$KB = K + \varphi \rightarrow \Box\Diamond\varphi$$

$KB$  is nullary

$$\blacktriangleright x \rightarrow (\neg p \wedge \neg q \rightarrow \Box(p \wedge \neg q \rightarrow \Box(\neg p \wedge q \rightarrow \Box(\neg p \wedge \neg q \rightarrow x))))$$

Balbani, P., Gencer, Ç.: *About the unification type of simple symmetric modal logics*. Submitted for publication.

# Recent advances

$$Alt_1 = K + \Diamond\varphi \rightarrow \Box\varphi$$

- ▶  $Alt_1$  is **nullary** for unification
- ▶ The unification problem (without parameters) in  $Alt_1$  is decidable (in *PSPACE*)

Balbani, P., Tinchev, T.: *Unification in modal logic  $Alt_1$* . In Beklemishev, L., Demri, S., Máté, A. (editors): *Advances in Modal Logic*. Volume 11. College Publications (2016) 117–134.

# Recent advances

Normal extensions of  $K5 = K + \diamond\varphi \rightarrow \Box\diamond\varphi$

- ▶ These modal logics are **unitary** for unification

$K + \Box^k \perp$  for  $k \geq 2$

- ▶ These modal logics are **finitary** for unification

Balbani, P., Rostamigiv, M., Tinchev, T.: *About the unification type of some locally tabular modal logics*. Submitted for publication.



# Recent advances

## Unification in Dynamic Epistemic Logics

### Syntax

- ▶  $\varphi ::= x \mid p \mid \perp \mid \neg\varphi \mid (\varphi \vee \psi) \mid K_a\varphi \mid [\varphi]\psi$

### Abbreviations

- ▶  $\hat{K}_a\varphi ::= \neg K_a\neg\varphi$
- ▶  $\langle\varphi\rangle\psi ::= \neg[\varphi]\neg\psi$

### Readings

- ▶  $K_a\varphi$ : “agent  $a$  knows that  $\varphi$  holds”
- ▶  $[\varphi]\psi$ : “if  $\varphi$  holds then  $\psi$  will hold after  $\varphi$  is announced”
- ▶  $\hat{K}_a\varphi$ : “it is compatible with  $a$ ’s knowledge that  $\varphi$  holds”
- ▶  $\langle\varphi\rangle\psi$ : “ $\varphi$  holds and  $\psi$  will hold after  $\varphi$  is announced”

# Recent advances

## Unification in Dynamic Epistemic Logics

### Example of unification problems

$$\varphi(\bar{p}) \rightarrow \langle x \rangle K_a \psi(\bar{p})$$

- ▶  $\varphi(\bar{p})$  describes an **initial situation**
- ▶  $x$  is the **announcement**
- ▶  $K_a \psi(\bar{p})$  — with  $\psi(\bar{p})$  Boolean formula — is a **goal formula**

### Other examples of unification problems

- ▶  $\varphi \rightarrow \langle x \rangle K_a \psi$
- ▶  $\varphi \rightarrow \langle x \rangle (K_{a_1} \psi_1 \wedge \dots \wedge K_{a_n} \psi_n)$
- ▶  $\varphi \rightarrow \langle x \rangle K_{a_1} \dots K_{a_n} \psi$
- ▶  $\varphi \rightarrow \langle K_b x \rangle K_a \psi$
- ▶  $\varphi \rightarrow \langle K_b x \rangle (K_{a_1} K_b \psi_1 \wedge \dots \wedge K_{a_n} K_b \psi_n \wedge K_{a_1} \hat{K}_b \chi_1 \wedge \dots \wedge K_{a_n} \hat{K}_b \chi_n)$

# Conclusion

## Applications to description logics

- ▶ **Baader, F., Fernández Gil, O., Morawska, B.:** *Hybrid unification in the description logic  $\mathcal{EL}$* . In Fontaine, P., Ringeissein, C., Schmidt, R. (editors): *Frontiers of Combining Systems*. Springer (2013) 295–310.
- ▶ **Baader, F., Morawska, B.:** *Unification in the description logic  $\mathcal{EL}$* . In Treinen, R. (editor): *Rewriting Techniques and Applications*. Springer (2009) 350–364.
- ▶ **Baader, F., Narendran, P.:** *Unification of concept terms in description logics*. *Journal of Symbolic Computation* **31** (2001) 277–305.

# Conclusion

## Applications to epistemic logics and temporal logics

- ▶ **Babenyshev, S., Rybakov, V.:** *Unification in linear temporal logic LTL*. *Annals of Pure and Applied Logic* **162** (2011) 991–1000.
- ▶ **Rybakov, V.:** *Logical consecutions in discrete linear temporal logic*. *The Journal of Symbolic Logic* **70** (2005) 1137–1149.
- ▶ **Rybakov, V.:** *Multi-modal and temporal logics with universal formula — reduction of admissibility to validity and unification*. *Journal of Logic and Computation* **18** (2008) 509–519.

# Conclusion

## Admissibility and unification in other non-classical logics

- ▶ **Cintula, P., Metcalfe, G.:** *Structural completeness in fuzzy logics*. Notre Dame Journal of Formal Logic **50** (2009) 153–182.
- ▶ **Dzik, W.:** *Unification of some substructural logics of BL-algebras and hoops*. Reports on Mathematical Logic **43** (2008) 73–3.
- ▶ **Jerábek, E.:** *Admissible rules of Łukasiewicz logic*. Journal of Logic and Computation **20** (2010) 425–447.
- ▶ **Odintsov, S., Rybakov, V.:** *Unification and admissible rules for paraconsistent minimal Johanssons logic  $J$  and positive intuitionistic logic  $IPC^+$* . Annals of Pure and Applied logic **164** (2013) 771–784.

# Conclusion

## Proof-theoretic approaches

- ▶ **lemhoff, R.:** *On the admissible rules of Intuitionistic Propositional Logic.* The Journal of Symbolic Logic **66** (2001) 281–294.
- ▶ **lemhoff, R.:** *A syntactic approach to unification in transitive reflexive modal logics.* Notre Dame Journal of Formal Logic **57** (2016) 233–247.
- ▶ **lemhoff, R., Metcalfe, G.:** *Hypersequent systems for the admissible rules of modal and intermediate logics.* In Artemov, S., Nerode, A. (editors): Logical Foundations of Computer Science. Springer (2009) 230–245.
- ▶ **lemhoff, R., Metcalfe, G.:** *Proof theory for admissible rules.* Annals of Pure and Applied Logic **159** (2009) 171–186.

# Conclusion

## Decidability/complexity and proof procedures

- ▶ **Babenyshev, S., Rybakov, V., Schmidt, R., Tishkovsky, D.:** *A tableau method for checking rule admissibility in S4.* Electronic Notes in Theoretical Computer Science **262** (2010) 17–32.
- ▶ **Cintula, P., Metcalfe, G.:** *Admissible rules in the implication-negation fragment of intuitionistic logic.* Annals of Pure and Applied Logic **162** (2010) 162–171.
- ▶ **Ghilardi, S.:** *A resolution/tableaux algorithm for projective approximations in IPC.* Logic Journal of the IGPL **10** (2002) 229–243.
- ▶ **Jeřábek, E.:** *Complexity of admissible rules.* Archive for Mathematical Logic **46** (2007) 73–92.

# Conclusion

## ***K*-unification**

- ▶ **Jeřábek, E.:** *Blending margins: the modal logic  $K$  has nullary unification type.* Journal of Logic and Computation **25** (2015) 1231–1240.
- ▶ **Wolter, F., Zakharyashev, M.:** *Undecidability of the unification and admissibility problems for modal and description logics.* ACM Transactions on Computational Logic **9** (2008) 25:1–25:20.



# Conclusion

## Some open problems

- ▶ Decidability of
  - ▶ parameter-free unification in modal logic  $K$ ,  $KB$  ?
  - ▶ unification with parameters in modal logics  $KD$ ,  $KDB$  ?
  - ▶ unification with parameters in modal logics  $KT$ ,  $KTB$  ?
  - ▶ unification with parameters in modal logics  $Alt_1$ ,  $Alt_2$  ?
  - ▶ unification in implication fragments ?
- ▶ Type of
  - ▶  $KB$ ,  $KD$ ,  $KDB$ ,  $KT$ ,  $KTB$  for parameter-free unification ?
  - ▶  $S5 \otimes S5$  and other fusions of modal logics ?
  - ▶  $S4.2 \times S4.2$  and other products of modal logics ?
  - ▶  $K + \Box^k \perp$  and other locally tabular modal logics ?
  - ▶ unification in implication fragments ?

Thank you